### Researcher Perspective(s) and the Role of Perspective in Privacy-Related Research

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http://www.rogerclarke.com/SOS/PPL {.html, .pdf}









### A Missing Element of Research Design 'Perspective'

The view from the standpoint of a stakeholder in the phenomena that are under observation



Ambrogio Lorenzetti Annunciation, 1344



### In Conventional Scientistic Research there are many Research Design Elements

- Research Question(s)
- Unit of Study
- Population
- Sampling Frame
- Sample Selection
- Survey Design
- Data Collection Design
- Data Analysis Design

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**Unit of Study** 

Organisational Dyad

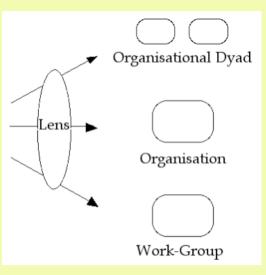


Organisation



Work-Group

### **Unit of Study**



Lens





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Object of Study

Unit of Study

Organisation Department

Work-Group

User

# Perspective **Unit of Study** Organisational Dyad Organisation Lens Organisation

Work-Group

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### **Insights from Interpretivism**

- Phenomena are subject to multiple interpretations
- Observation from a single perspective risks inappropriate inferences being drawn

### Conceptual Model

## Example





TAM

Observer

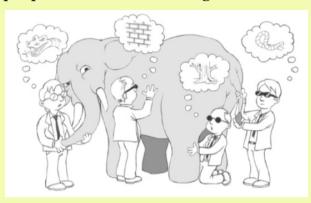
System

Sponsor



### **Insights from Interpretivism**

- Phenomena are subject to multiple interpretations
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S ya d va d a (epistemological relativism) The Parable of the Blind(folded) Men and the Elephant

### A Missing Element of Research Philosophy

- We discuss:
  - **Ontology** (knowledge about existence)
  - **Epistemology** (knowledge about knowledge)
  - **Methodology** (knowledge about process)
- We seldom discuss:
  - **Teleology** (knowledge about purpose, including whose perspectives the purpose reflects)

<teleol\*> in AIS eLibrary: >30,000 Entries

1 in Title, 12 in Abstract, 180 in body

### **Insights from Interpretivism**

- Phenomena are subject to multiple interpretations
- Observation from a single perspective risks inappropriate inferences being drawn

### **Implications of Interpretivism**

- The researcher has a perspective
- The researcher's perspective is not universal
- The researcher's perspective influences research conception, questions, design, analysis, results
- The researcher's perspective should be declared

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**Alternative Perspectives** 

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Triple-Bottom-Line / Corporate Social Responsibility

Economic Dimension	Social Dimension	Environmental Dimension
World Economy	Humanity	The Planet
Supra-National Region (e.g. EU, NAFTA)		
Nation-State	A Society	The Troposphere
Regional Economy		
Sector / Value-Chain	A Community	The Biosphere
Strategic Partners		
Organisation	A Person	A Localised Ecology
Sub-Organisation		



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### The **Dominant Perspective** in IS Research

Economic Dimension	Social Dimension	Environmental Dimension
World Economy	Humanity	The Planet
Supra-National Region (e.g. EU, NAFTA)		
Nation-State	A Society	The Troposphere
Regional Economy		
Sector / Value-Chain	A Community	The Biosphere
Strategic Partners		
The System-Sponsor	A Person	A Localised Ecology
Sub-Organisation		

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http://www.rogerclarke.com/SOS/ACIS15.html

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### The Dominant Perspective

- The System-Sponsor's interests are Objectives
- Entities with institutional or market power are recognised as Stakeholders. Their interests are Constraints

### The Dominant Perspective

The System-Sponsor's interests are Objectives

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### The Dominant Perspective



- The System-Sponsor's interests are Objectives
- Entities with institutional or market power are recognised as Stakeholders. Their interests are Constraints
- Entities without power are ignored Their interests surface later, as 'Impediments' and 'Barriers to Adoption'



#### The Stakeholder Notion

- Freeman & Reed (1983) Calif. Mngt. Rev.:
  - 'entities that have a stake in the system'
- Pouloudi & Whitley (1997) EJIS:
  - participants in the development process &
  - any other entities whose actions can influence or be influenced by development and use of the system directly or indirectly ('Usees')





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### A Test of the Perspective Notion

Let's stop sending printed invoices through the post

### **Sample Research Questions** from Published Papers

- How can we use social media to influence people?
- How do we buy-off people's privacy concerns?
- Can we impose lifetime eHealth Records?
- Can we impose pervasive wellbeing monitoring?
- How can we best exploit the crowd?
- How can we de-anonymise the customer?
- Why are people concerned about location sharing?





Bled eConference 2014

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### A Test of the Perspective Notion

Let's stop sending printed invoices through the post

Is this a legitimate (M)IS research question?

What will be the impacts on the organisation?





### A Test of the Perspective Notion

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Is this a legitimate (M)IS research question?

What will be the impacts on the organisation?

What will be the impacts along the value-chain?





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### A Test of the Perspective Notion

Let's stop sending printed invoices through the post

Is this a legitimate (M)IS research question?

What will be the impacts on the organisation? What will be the impacts along the value-chain?

What will be the impacts on contractors' employees?





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Is this a legitimate (M)IS research question?

What will be the impacts on the organisation? What will be the impacts along the value-chain? What will be the impacts on contractors' employees?

What will be the impacts on regional employment?

### A Test of the Perspective Notion

Let's stop sending printed invoices through the post

Is this a legitimate (M)IS research question?

What will be the impacts on the organisation? What will be the impacts along the value-chain? What will be the impacts on contractors' employees? What will be the impacts on regional employment?

What will be the impacts on forests?







### Single-Perspective Research

#### The Perspective of the System-Sponsor (S-SS)

RQ: What proportion of social media users need to authorise the service-provider to exploit their data, in order to ensure that advertising-based business models are viable?





### **Beyond Single-Perspective Research**

#### **Dual-Perspective Research (D)**

RQ: How do the views of social media users and service-providers compare in relation to Terms of Service and privacy features and policies?

### Single-Perspective Research

#### The Perspective of the System-Sponsor (S-SS)

• RQ: What proportion of social media users need to authorise the service-provider to exploit their data, in order to ensure that advertising-based business models are viable?

#### A Perspective Other than System-Sponsor (S-O)

RQ: What techniques and tools are available to social media users to enable them to obfuscate, subvert or falsify their identities and locations, and how understandable and practicable are those techniques and tools?



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### **Beyond Single-Perspective Research**

#### **Dual-Perspective Research (D)**

RQ: How do the views of social media users and service-providers compare in relation to Terms of Service and privacy features and policies?

#### Multi-Perspective Research (M)

RQ: What are the social and economic impacts of the current, exploitative business model for social media; and what benefits and disbenefits would accrue to which stakeholders if regulatory measures were imposed?



### Beyond Single-Perspective Research Ons

Let's stop sending printed invoices through the post.

#### Is this a legitimate (M) IS research question?

What will be the impacts on the organisation? What will be the impacts along the value-chain? What will be the impacts on contractors' employees? What will be the impacts on regional employment? What will be the impacts on forests?

How can an organisation appreciate and manage the impacts on all parties? What is 'a feasible and good' design process to reflect the perspectives of all stakeholders?





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#### **Alternative ROs**

- S-SS How can "... privacy policies ... contribute to reducing individual privacy concerns?"
- S-O How can a consumer distinguish genuine privacy protections from corporate propaganda? Do privacy policies reduce privacy risks?

Xu H. et al. (2011) 'Information Privacy Concerns: Linking

Individual Perceptions with Institutional Privacy Assurances

Journal of the Association for Information Systems 12, 1

### 'Privacy Concern Reduction'

- Research Ouestion:
  - How can "institutional privacy assurances such as privacy policies and industry self-regulation ... contribute to reducing individual privacy concerns?"
- Conclusion:

"privacy policies ... are linked to individuals' perceptions of [information handling procedures], which, in turn, can contribute to reducing individual privacy concerns ... and reduce risk perceptions"





Xu H. et al. (2011) 'Information Privacy Concerns: Linking Individual Perceptions with Institutional Privacy Assurances Journal of the Association for Information Systems 12, 1

### **Alternative ROs**

- S-SS How can "... privacy policies ... contribute to reducing individual privacy concerns?"
- S-O How can a consumer distinguish genuine privacy protections from corporate propaganda? Do privacy policies reduce privacy risks?
- What is an appropriate design for personal data markets in order to balance the interests of corporations against those of consumers?
- What corporate behaviours in personal data markets justify regulatory intervention?





### **Privacy and Personal Data Markets A Research Ouestion**

- What is an appropriate design for "a market where buyers can access ... private data by appropriately compensating the individuals ... according to their privacy attitudes?"
- Interpreted: How can corporations "minimize the price" that "buyers pay" consumers for access to their data?

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Gkatzelis V. et al. (2015) 'Pricing private data' Electronic Markets 25, 2 (June 2015)109-123

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### **Alternative RQs**

- S-SS How can corporations "minimize the price" that "buyers pay" consumers for access to their data?
- S-O How can willing consumers maximise the price that corporations pay for access to their data? How can refusenik consumers resist or subvert corporate expropriation of their data?
- What is an appropriate design for personal data markets that balances the interests of corporations against those of willing and refusenik consumers?
- What corporate behaviours in personal data markets justify regulatory intervention?

#### **Alternative ROs**

- S-SS How can corporations "minimize the price" that "buyers pay" consumers for access to their data?
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### Contexts of Applicability

- Single-Perspective:
  - That of the System-Sponsor: Where no other stakeholder has any power
  - That of another Stakeholder: Where that Stakeholder has power
- **Dual-Perspective:** In situations of competition or interest conflict
- **Multi-Perspective**: Where there are many (influential) stakeholders Public policy research

### **Public Policy Research**

- A matter of regional or national significance
- Has social, economic and environmental aspects
- Requires **negotiation** of Objectives and Principles
- Steps to achieve the Objectives include:
  - Regulatory Measures
  - Resource Allocation
  - Conception, articulation and implementation of Programs of Action
- Depends on multi-organisational collaboration across the public, private and voluntary sectors





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### Researcher Perspective(s) and the Role of Perspective in Privacy-Related Research

### Agenda

- Researcher Perspective
- The Dominant Perspective the System-Sponsor
- The Concept of Stakeholder
- Single-, Dual-, and Multiple Perspectives
- Contexts of Applicability
- Research with Public Policy Relevance
- Implications for Researchers

### Features of Public Policy Research (PPR)

- PPR's Driver is desire to address a Problem of an economic, social and/or environmental nature
- PPR's Purpose is to devise possible Solutions, evaluate them, articulate them, implement them
- PPR's mode is at least partly Normative, not merely Descriptive, Explanatory or Predictive
- PPR's mind-set is **Instrumentalist**, <u>not</u> Pure (pursue knowledge) or Applied (of a tool)
- PPR's nature is inherently Multi-Perspective
- Outcome Quality is the objective Research Rigour is a constraint





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#### **Conclusions**

- Researcher Perspective is important, overlooked
- The System Sponsor perspective dominates
- Human Perspectives on the Economic dimension, and Social and Environmental Dimensions, are inadequately represented
- There is far too little dual-perspective research, reflecting both system-sponsor and user views, and inter-relating them, to the benefit of both
- Multi-perspective approaches and public policy research are challenging, but represent an opportunity waiting to be grasped







### **Implications for Individual Researchers**

- (1) Discover Perspective as an important element of research conception, design, conduct and reporting
- (2) **Deliberate** on the alternative Perspectives that are relevant to the context
- (3) **Determine** the Perspective(s) to be adopted
- (4) Declare the Perspective(s) adopted



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